

## String matching

Data Structures and Algorithms for Computational Linguistics III  
(ISCL-BA-07)

Çağrı Çöltekin  
ccoltekin@ufa.uni-tuebingen.de

University of Tübingen  
Seminar für Sprachwissenschaft

Winter Semester 2023/24

www.iscl.uni-tuebingen.de

## Finding patterns in a string

- Finding a pattern in a larger text is a common problem in many applications
- Typical example is searching in a text editor or word processor
- There are many more:
  - DNA sequencing / bioinformatics
  - Plagiarism detection
  - Search engines / information retrieval
  - Spell checking
  - ...

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## Types of problems

- The efficiency and usability of algorithms depend on some properties of the problem
- Typical applications are based on finding multiple occurrences of a single pattern in a text, where the pattern is much shorter than the text
- The efficiency of the algorithms may depend on the
  - relative size of the pattern
  - expected number of repetitions
  - size of the alphabet
  - whether the pattern is used once or many times
- Another related problem is searching for multiple patterns at once
- In some cases, fuzzy / approximate search may be required
- In some applications, preprocessing (indexing) the text to be searched may be beneficial

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## Problem definition

and some terminology

text: 

A	A	T	A	G	A	C	G	G	C	T	A	G	C	A	A
---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---

pattern: 

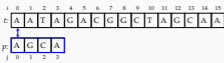
A	G	C	A
---	---	---	---

- We want to find all occurrences of pattern  $p$  (length  $m$ ) in text  $t$  (length  $n$ )
- The characters in both  $t$  and  $p$  are from an alphabet  $\Sigma$ , in the example  $\Sigma = \{A, C, G, T\}$
- The size of the alphabet ( $q$ ) is often an important factor
- $p$  occurs in  $t$  with shift  $s$  if  $p[i] = t[s+i]$  for  $0 \leq i < m$ , we have a match at  $s = 3$  in the example
- A string  $x$  is a prefix of string  $y$ , if  $y = xw$  for a possibly empty string  $w$
- A string  $x$  is a suffix of string  $y$ , if  $y = wx$  for a possibly empty string  $w$

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## Brute-force string search

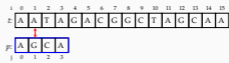


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  - if  $t[i] != p[j]$ : shift  $p$  (increase  $i$ , set  $j = 0$ )
  - otherwise: compare the next character (increase  $i$  and  $j$ , repeat)

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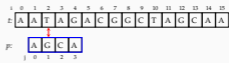


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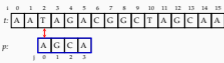


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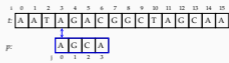


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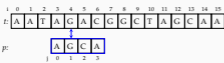


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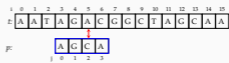


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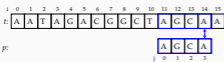
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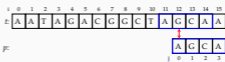


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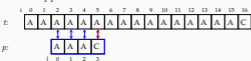
## Brute-force approach: worst case



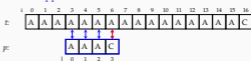
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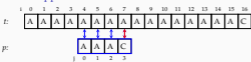
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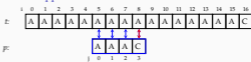
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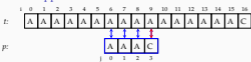
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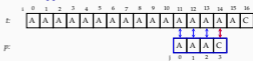
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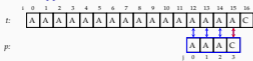
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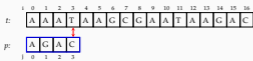
## Brute-force approach: worst case



- Worst-case complexity of the method is  $O(nm)$
- Crucially, most of the comparisons are redundant
  - for  $i > 0$  and any comparison with  $j = 0, 1, 2$ , we already inspected corresponding  $i$  values
- The main idea for more advanced algorithms is to avoid this unnecessary comparisons, with help of additional pre-processing and memory

## Boyer-Moore algorithm

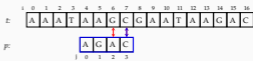
slightly simplified version



- The main idea is to start comparing from the end of  $p$
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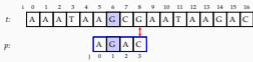
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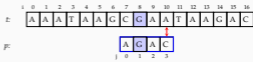
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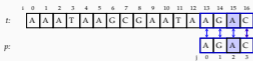
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## Boyer-Moore algorithm

implementation and analysis

- On average, the algorithm performs better than brute-force
- In worst case the complexity of the algorithm is  $O(nm)$ , example:  $t = aaa\dots a, p = baa\dots a$
- Faster versions exist ( $O(n + m + q)$ )

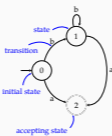
```

last = {}
for j in range(m):
    last[P[j]] = j
i, j = m-1, m-1
while i < n:
    if T[i] == P[j]:
        return i
    else:
        k = m - 1
        j = -1
    else:
        k = last.get(T[i], -1)
        i += m - min(j, k+1)
        j = m - 1
return None

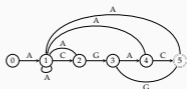
```

## A quick introduction to FSA

- Another efficient way to search a string is building a finite state automaton for the pattern
- An FSA is a directed graph where edges have labels
- One of the states is the *initial state*
- Some states are accepting states
- We will study FSA more in-depth soon



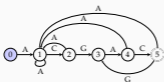
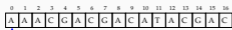
## An FSA for the pattern ACGAC



- Start at state 0, switch states based on the input
- All unspecified transitions go to state 0
- When at the accepting state, announce success

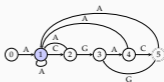
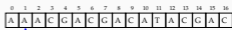
## FSA pattern matching

demonstration



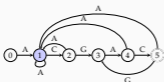
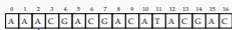
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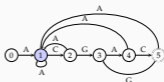
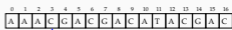
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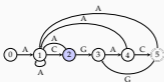
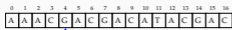
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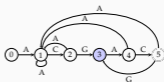
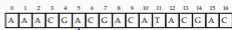
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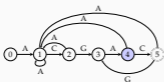
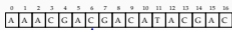
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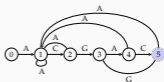
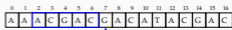
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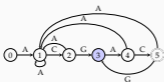
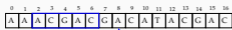
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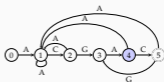
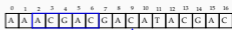
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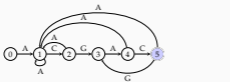
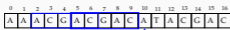
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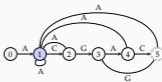
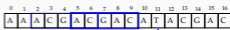
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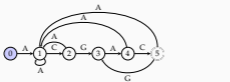
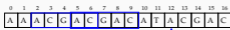
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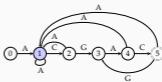
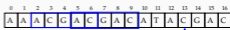
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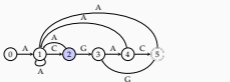
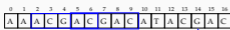
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demonstration



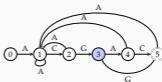
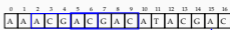
## FSA pattern matching

demonstration



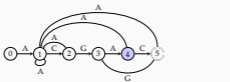
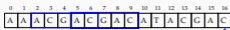
## FSA pattern matching

demonstration



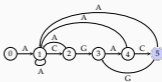
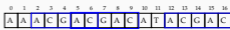
## FSA pattern matching

demonstration



## FSA pattern matching

demonstration



## FSA for string matching

how to build the automaton

- An FSA results in  $O(n)$  time matching, however, we need to first build the automaton
- At any state of the automaton, we want to know which state to go for the failing matches
- Given substring  $s$  recognized by a state and a non-matching input symbol  $a$ , we want to find the longest prefix of  $s$  such that it is also a suffix of  $sa$
- A naive attempt results in  $O(qm^3)$  time for building the automaton (where  $q$  is the size of the alphabet  $m$  is the length of the pattern)
- If stored in a matrix, the space requirement is  $O(qm)$
- Better (faster) algorithms exist for construction these automaton (we will cover some later in this course)

## Knuth-Morris-Pratt (KMP) algorithm

- The KMP algorithm is probably the most popular algorithm for string matching
- The idea is similar to the FSA approach: on failure, continue comparing from the longest matched prefix so far
- However, we rely on a simpler data structure (a function/table that tells us where to back up)
- Construction of the table is also faster

## KMP algorithm

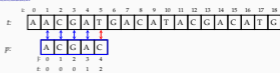
demonstration



- In case of a match, increment both  $i$  and  $j$
- On failure, or at the end of the pattern, decide which new  $p[j]$  compare with  $t[i]$  based on a function  $f$
- $f[j - 1]$  tells which  $j$  value to resume the comparisons from

## KMP algorithm

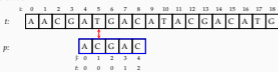
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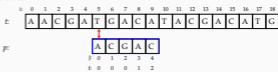
demonstration



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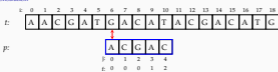
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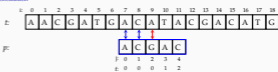
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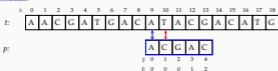
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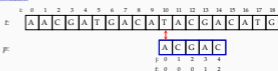
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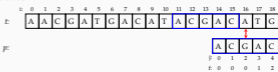
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## Complexity of the KMP algorithm

- In the while loop, we either increase  $i$ , or shift the comparison
- As a result, the loop runs at most  $2n$  times, complexity is  $O(n)$

```

i, j = 0, 0
while i < n:
    if T[i] == P[j]:
        if j == m - 1:
            return i
        else:
            i += 1
            j += 1
    elif j > 0:
        j = f[j - 1]
    else:
        i += 1
        j = 0
return None

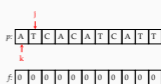
```

## Building the prefix/failure table

```

f = [0] * m
j, k = -1, 0
while j < m:
    if P[j] == P[k]:
        f[j] = k + 1
        j += 1
        k += 1
    elif k > 0:
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```

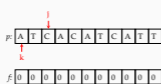


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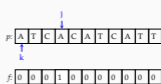


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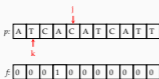


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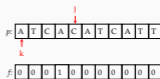


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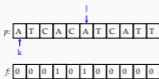


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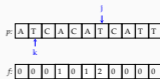


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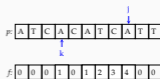


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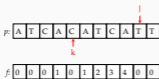


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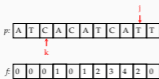


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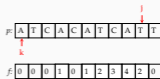


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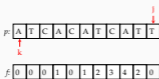


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        k = f[k - 1]
    else:
        j += 1

```



## Rabin-Karp algorithm

- Rabin-Karp string matching algorithm is another interesting algorithm
- The idea is instead of matching the string itself, matching the hash of it (based on a hash function)
- If a match found, we need to verify – the match may be because of a hash collision
- Otherwise, the algorithm makes a single comparison for each position in the text
- However, a hash should be computed for each position (with size  $m$ )
- Rolling hash functions avoid this complication



## Rabin-Karp string matching

demonstration with additive hashing

t: 7 1 3 6 7 4 3 8 5 7 9 4 3 9  
h = 39

j: 4 3 8 5 7 9 4 3 h(p) = 43

- A rolling hash function changes the hash value only based on the item coming in and going out of the window
- To reduce collisions, better rolling-hash functions (e.g., polynomial hash functions) can also be used

## Rabin-Karp string matching

demonstration with additive hashing

t: 7 1 3 6 7 4 3 8 5 7 9 4 3 9  
h = 37

j: 4 3 8 5 7 9 4 3 h(p) = 43

- A rolling hash function changes the hash value only based on the item coming in and going out of the window
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## Rabin-Karp string matching

demonstration with additive hashing

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- A rolling hash function changes the hash value only based on the item coming in and going out of the window
- To reduce collisions, better rolling-hash functions (e.g., polynomial hash functions) can also be used

## Rabin-Karp string matching

demonstration with additive hashing

t: 7 1 3 6 7 4 3 8 5 7 9 4 3 9  
h = 49

j: 4 3 8 5 7 9 4 3 h(p) = 43

- A rolling hash function changes the hash value only based on the item coming in and going out of the window
- To reduce collisions, better rolling-hash functions (e.g., polynomial hash functions) can also be used

## Rabin-Karp string matching

demonstration with additive hashing

t: 7 1 3 6 7 4 3 8 5 7 9 4 3 9  
h = 47

j: 4 3 8 5 7 9 4 3 h(p) = 43

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## Rabin-Karp string matching

demonstration with additive hashing

t: 7 1 3 6 7 4 3 8 5 7 9 4 3 9  
h = 43

j: 4 3 8 5 7 9 4 3 h(p) = 43

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- To reduce collisions, better rolling-hash functions (e.g., polynomial hash functions) can also be used

## Rabin-Karp string matching

demonstration with additive hashing

t: 7 1 3 6 7 4 3 8 5 7 9 4 3 9  
h = 48

j: 4 3 8 5 7 9 4 3 h(p) = 43

- A rolling hash function changes the hash value only based on the item coming in and going out of the window
- To reduce collisions, better rolling-hash functions (e.g., polynomial hash functions) can also be used

## Summary

- String matching is an important problem with wide range of applications
- The choice of algorithm largely depends on the problem
- We will revisit the problem on regular expressions and finite-state automata
- Reading: Goodrich, Tamassia, and Goldwasser (2013, chapter 13)

Next:

- Algorithms on strings: edit distance / alignment
- Reading: Goodrich, Tamassia, and Goldwasser (2013, chapter 13), Jurafsky and Martin (2009, section 3.11, or 2.5 in online draft)

## Building the prefix/failure table

another example

```
f = [0] * m
j, k = 1, 0
while j < m:
    if P[j] == P[k]:
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P: A T A C G A T A C A T G C  
f: 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0

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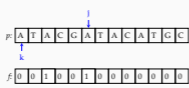
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P: A T A C G A T A C A T G C  
f: 0 0 1 0 0 0 0 0 0 0 0 0 0 0 0

## Building the prefix/failure table

another example

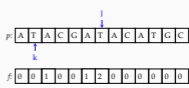
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another example

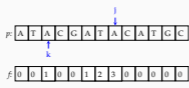
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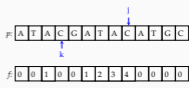
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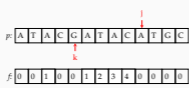
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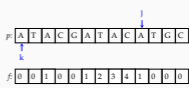
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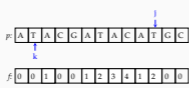
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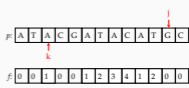
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j, k = 1, 0
while j < m:
    if P[j] == P[k]:
        f[j] = k + 1
        j += 1
        k += 1
    elif k > 0:
        k = f[k - 1]
    else:
        j += 1
```



## Building the prefix/failure table

another example

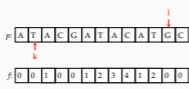
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## Building the prefix/failure table

another example

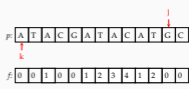
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## Building the prefix/failure table

another example

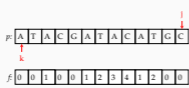
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## Acknowledgments, credits, references

- Goodrich, Michael T., Roberto Tamassia, and Michael H. Goldwasser (2013). *Data Structures and Algorithms in Python*. John Wiley & Sons, Incorporated. [ISBN: 978-0-13-044196-3](https://doi.org/10.1114/9780130441963).
- Jurafsky, Daniel and James H. Martin (2009). *Speech and Language Processing: An Introduction to Natural Language Processing, Computational Linguistics, and Speech Recognition*, second edition. Pearson Prentice Hall. [ISBN: 978-0-13-044196-3](https://doi.org/10.1114/9780130441963).

